

# Movers & Shakers

## Compliance

Asset Management & Alternatives

### Charles Jones

Former EMEA Head of Compliance  
for **Janus Henderson**

### Joins

**Standard Life Aberdeen**  
as Head of Risk & Compliance - Investments

### Simon Johnston

Former EMEA Head of MSG Compliance  
for **Macquarie Group**

### Joins

**Apex Group Ltd**  
as Head of European Compliance

### Bijal Shah

Former Global Head of FX Contracts and Regulatory  
Strategy for **Brown Brothers Harriman**

### Joins

**Partners Group**  
as Legal Expert, Treasury and Execution

### Karen Briggs

Former Partner in Regulatory, Forensic and Financial  
Crime at **KPMG**

### Joins

**FTI Consulting**  
as EMEA Head of Forensic and Litigation Consulting

### Chris Martin

Former Head of Compliance  
at **Woodford Investment Management**

### Joins

**Alpha FMC**  
as Senior Manager - Risk, Regulation and Compliance

### Nikhil Rathi

Former Chief Executive Officer  
at **London Stock Exchange (LSE)**

### Joins

**Financial Conduct Authority (FCA)**  
as Chief Executive Officer

### Rory Cairns

Former Compliance Officer  
at **Tikehau Capital**

### Joins

**Cerberus Capital Management**  
as European Compliance Officer

### Rupert Brown

Former Director of Compliance  
at **Schonfeld**

### Joins

**QMA Wadhvani**  
as Vice President, Compliance

### Matthew McNeill

Former Compliance Advisory Consultant  
at **Merian Global Investors**

### Joins

**Lindsell Train**  
as Deputy Compliance Officer

### Duncan Hayton

Former Compliance Manager  
at **GSA Capital**

### Joins

**Fulcrum Asset Management**  
as Compliance Manager

### Jess de Alwis

Former Director of Risk and Compliance  
at **Investec Wealth & Investment UK**

### Joins

**Hawksmoor Investment Management**  
as Head of Compliance

### David Laramy

Former Executive Director  
at **JPMorgan Chase & Co**

### Joins

**Monzo Bank**  
as VP, Head of Financial Crime

### Therese B. Santos

Former Deputy MRLO and Europe Compliance Officer  
for **Insitnet Europe Ltd**

### Joins

**Hudson River Trading**  
as Chief Compliance Officer



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